

You can actually solve the problem  
and find the transmission probabilities  
as a fn. of the angle of incidence.

- Katsnelson, Grein & Novoselov. (Nature  
Phys 2, 620 (2006)).

They had found (for high barriers ( $V \gg E$ ))

$$T = \frac{\cos^2 \phi}{1 - \cos^2(\theta, D) \sin^2 \phi}$$

as a fn. of the incident angle  $\phi$   
wrt x-axis  
and  $D = \text{width of the well.}$

Finally, just to make connection with some more recent materials, let me mention topological insulators, Weyl semi-metals, silicene, etc. just to give you a flavour of some of the kinds of materials that are being studied and the ideas being used. All of these basically are also called Dirac materials because they use the dispersion of the electrons in these materials is relativistic — they use the Dirac  $\epsilon^{\pm}$  and not the Schrödinger  $\epsilon^{\pm}$ . Topological insulators, unlike graphene which has 2 Dirac cones for each spin, in all, one for each Fermi point and has only 1 Dirac cone. So it is often called  $1/4$  graphene.

described by surfaces (which are  
 the relevant 2+1 dimensional things)  
 described by excitations are  
 There is a lot of work being done  
 in this field in recent times.  
 to tell you a little  
 more about it - let us first  
 the symmetries in graphene.  
 are 2 important symmetries  
 is parity - which switches  
 2 sub-lattices - which is  
 related to taking ~~the transpose~~.  
 $(\sigma_1, \sigma_2, \sigma_3) \rightarrow (\sigma_1, -\sigma_2, -\sigma_3)$   
 the parity operator is just  
 $P = \sigma_1$   
 line-reversal  
 $(\sigma_1, \sigma_2, \sigma_3) \rightarrow (\sigma_1, -\sigma_2, \sigma_3)$   
 $T = \sigma_0 \cdot K$ , where  $K$  denotes  
 conjugation.

(This is similar to what you may remember from 3+1 dimensions  $P = \gamma_0 \wedge \gamma_1 \wedge \gamma_2 \wedge \gamma_3$ )

Here, the lattice fermions have a pseudo-spin (representing the sub-lattice isospin), but in terms of the real spin of the electron are spinless. That is why the time-reversal operator obeys  $T^2 = 1$ . For real spin  $\frac{1}{2}$  electrons,  $T^2 = -1$ , which is what leads to Kramers degeneracy and the ~~non~~~~stability~~ physics associated with that.

For graphene, the main point is that the Hamiltonian given by

$$H = i\hbar v_0 \begin{pmatrix} 0 & k_x + ik_y \\ -k_x + ik_y & 0 \end{pmatrix}$$

~~is~~ is more generally written as

$$H = d_1(k) \sigma_1 + d_2(k) \sigma_2$$

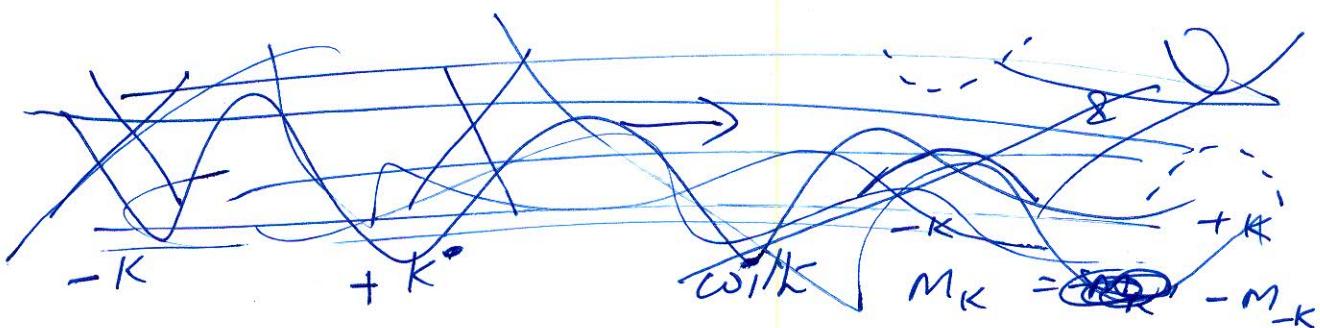
is symmetric under  $P$  &  $T$ ,

~~Because~~  
But if you add a term proportional to  $\delta_3$ , then the Hamiltonian is no longer symmetric under  $P \otimes T$  and one can generate gaps at the Dirac point.

One way to generate a mass is to just add a constant proportional to  $\delta_3$ . —,  $M\delta_3$ .

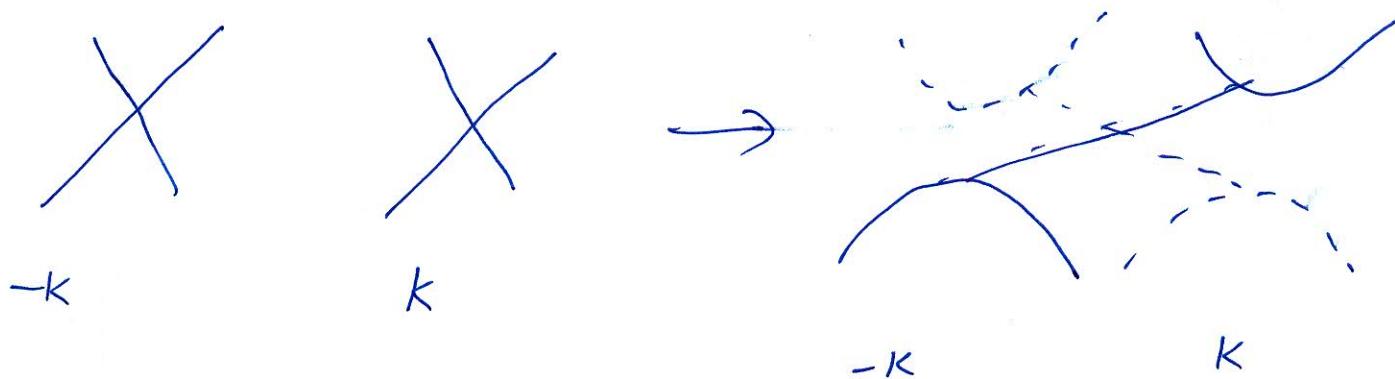
This is called Semenoff mass and it corresponds to a staggered mass — something which breaks the symmetry between the A  $\otimes$  B sub-lattices, while leaving time-reversal symmetry intact.

So ~~the~~ we will have  $d_3(k) = M$



This is realised in some crystals where the A  $\otimes$  B sub-lattices are occupied by distinct atoms.

Then we have the Haldane mass. This breaks time-reversal symmetry, but not parity. In a lattice model, it can be generated by second nearest neighbours. But for us, the main point is that  $d_3(k)$  changes sign at the ~~wave~~ and is not independent of  $k$

$$d_3(k \sim \pm K) = \mp A$$


What is more interesting, if we put this solve this in a finite system (put graphene next to an ordinary insulator). Then one will get edge states.

Such materials are called Chern insulators or quantum anomalous Hall insulators.

Finally, if we also include real spin, we get to the current excitement — topological insulators.

Here you have the same physics as in the Haldane phase, but ~~but~~ with the 2 edge states also having 2 distinct spins  $\uparrow$  and  $\downarrow$ .

I don't want to go more into this field — but let me end this part of the course by mentioning a new ~~material~~ kind of material that we are currently working on. It is called Weyl semi-metal or also 3D graphene. ~~It is essentially~~

~~The study of the fermions~~

It is found that in some materials cases / the easiest models are to start with topological insulator models and break TR or P invariance and reach models — the actual

kind of Hamiltonian have this low energy limit have very complicated band structures to begin with), the effective low energy Hamiltonian just turns out to be of the form

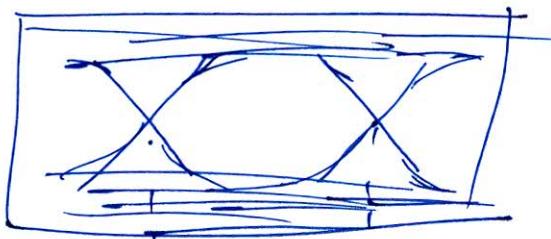
$$H = \nu \vec{p} \cdot \vec{\sigma}$$

$-10$ . it is the Weyl Hamiltonian (for one helicity) in 3+1 dimensions.  $H = -\nu \vec{p} \cdot \vec{\sigma}$  for the other helicity.

But here, the interesting point is that once the 2 helicities have been separated - it is not possible to add a mass term to the Hamiltonian (no 4<sup>th</sup> anti-commuting matrix - the reason we ~~still~~ need 4 dim. matrices for the massive Dirac  $\gamma^5$  in 3+1 dimensions). Hence the

way) Hamiltonian is not an insulator. It is metallic. It is called a semi-metal. Essentially, it is metallic only at the Dirac point - (low energy approximation) elsewhere it is insulating.

- C.P. the band structure of a WSM looks like



At particular  $k$ -points, the valence & conduction bands touch forming the WSM.

### Finally

Finally, since I had mentioned silicene<sup>in my course outline. So</sup>, let me also mention what new materials like silicene and germanene are all about.

Silicene has silicon atoms arranged in a honeycomb pattern, but with a buckled structure. Just like in graphene, the states near the Fermi energy can be described by Dirac theory at the 2 valleys  $K$  and  $K'$ . Only difference is that the fermions are massive in silicon due to a spin-orbit coupling which is much larger in silicene than graphene. Also, because it is buckled, the 2 sub-lattices respond differently to an applied electric field. So this induces a gap, which is tunable. So added to the spin orbit gap, the total Dirac mass of ~~the~~ silicene can be tuned by an electric field. And so we can tune it to be a normal insulator, a metal like graphene, or a topological insulator by inverting

the bands at  $K'$ . This makes it a very interesting material. People have also made something called germanene -  $111^\circ$  to silicene and graphene. It seems to be flat, more like graphene, according to a recent 2014 paper but there hasn't been much work on this yet.

### References for this part

- 1.) Introduction to Dirac materials and topological insulators - J. Cayssol. 1310.0792
- 2.) Relativistic quantum mechanics - vol I - Bjorken & Drell.